

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re the Application of |) | Examiner: Kaveh C. KIANNI |
| Naoki Hanashima and Reio Mochida |) | Art Unit: 2874 |
| Serial No.: 10/810,392 |) | Confirmation No.: 8602 |
| Filed: March 26, 2004 |) | Customer No.: 22430 |
| For: OPTICAL WAVEGUIDE AND METHOD OF FABRICATING THE SAME |) | <u>INFORMATION DISCLOSURE STATEMENT</u> |
| Atty. Docket No.: WASH5920 |) | |

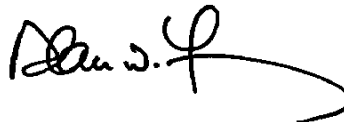
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Commissioner for Patents
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Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached forms PTO/SB/08A and PTO/SB/08B and request that they be considered by the Examiner. Each of the references cited on the attached were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Copies of each of the references cited on the attached is being provided herewith.

This information disclosure statement is being filed under 37 C.F.R. §1.97(d), therefore, payment of the fees due under §1.17(p) is being provided herewith.

Respectfully submitted,



Date: July 15, 2006

By: _____

Alan W. Young
Attorney for Applicants
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

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|-------------------------------|-----------------|
| Application Number | 10/810,392 |
| Filing Date | March 26, 2004 |
| First Named Inventor | Naoki HANASHIMA |
| Art Unit | 2883 |
| Examiner Name | Kaveh C. KIANNI |
| Attorney Docket Number | WASH5920 |

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| Sheet | 1 | of | 2 |
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ^o |
|--------------------|-----------------------|---|------------------|---|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | 2 | JP-H3-75606 | 03-29-1991 | | | ✓ |
| | 3 | JP-2002-250831 | 02-22-2001 | | | ✓ |
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| Examiner Signature | Date Considered |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)***Complete if Known**

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| Application Number | 10/810,392 |
| Filing Date | March 26, 2004 |
| First Named Inventor | Naoki HANASHIMA |
| Art Unit | 2883 |
| Examiner Name | Kaveh C. KIANNI |
| Attorney Docket Number | WASH5920 |

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | 4 | Office Action from related Japanese Patent Application No. 2004-018838. | ✓ |
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Examiner
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